



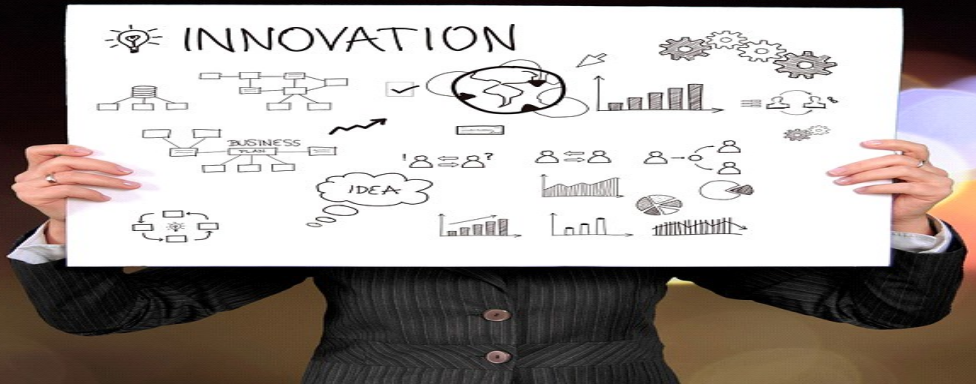
**INVESTMENT MANAGEMENT.
ADVICE.**

An introduction to DB Advisors.



DOUGLAS BAGWELL & CO., LLC

www.douglasbagwelladvisors.com



Welcome to **DB Advisors**

**A simple annual fee based on
the assets you have with us —
which means our business
grows only if your assets grow.**

WE MAY OFFER:

■
Active Portfolio Management.

■
The advice and guidance of your personal
Financial Advisor.

■
Cash Management Account service.

■
Visa Signature card and check book.

■
Online Access twenty-four hours per day.

You face tremendous challenges building and protecting your financial assets. With the vast array of investment options offered in the marketplace today, even the most sophisticated investor can find it difficult to sort through the wealth of available information and make the right choices.

But there is a solution: a relationship-based financial service created by Douglas Bagwell to help meet your individual and unique needs. This new approach is designed to let you put the power of our resources to work for you. You can benefit from the personal service, wisdom and guidance of Douglas Bagwell and our highly trained Financial Advisors, as well as from access to a wide array of innovative financial services.

417.763.3307 or 888.862.8913

www.douglasbagwelladvisors.com



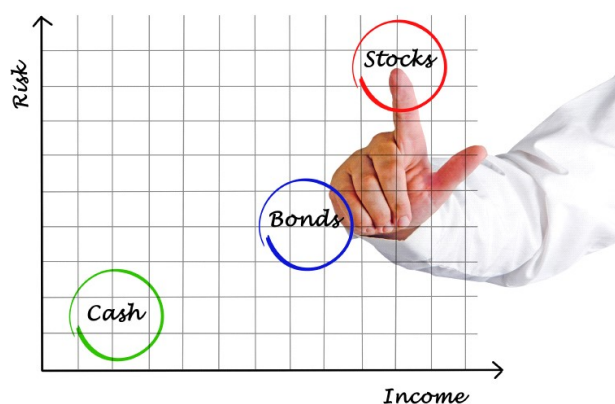
OUR SUCCESS DEPENDS ON YOUR SUCCESS

At the core of this service is a whole new way of working together — where our success depends on your success. You choose to pay a simple annual fee based on the value of your assets rather than on the number of trades you make or mutual funds you purchase. In return, you receive open access to resources from Douglas Bagwell: separately managed accounts; the professional advice and guidance you have come to expect from your Financial Advisor; quarterly summary reports; Visa Signature card and check writing; twenty-four hour Online Access.

INVESTING YOUR WAY

Douglas Bagwell recognizes that you may want to be served in different ways at different times. You can have your portfolio managed your way — through your Financial Advisor and with the added convenience of the portfolio managers of Douglas Bagwell. But no matter how you choose to invest, you're never alone. Douglas Bagwell and your Financial Advisor are always available to assist you, based on your particular needs and on the goals that you establish. Every step of the way, we hold ourselves accountable for making suitable recommendations based on your goals, risk preferences and time horizon.

Mutual Funds and
Variable Annuities
chosen by **DB Advisors**
have no sales loads or
are load waived, so
more of your money
works for you.



A MERGER OF YOUR NEEDS AND OUR CAPABILITIES

Working with your Financial Advisor, you can develop a planning-based approach to investing that is tailored to your personal goals, needs and risk tolerance. Sound planning is critical to your successful wealth management.

Your Financial Advisor, backed by world-class research, can work to help you allocate your assets, develop strategies and select investments. You will have access to our portfolio management to implement your strategies, along with the continued support of your Financial Advisor who can work with you to monitor and modify your financial plan as your needs change.

And, because preparing for life's events is a continuous process, your Financial Advisor, as always, will be available to help you track your progress toward your goals and fine-tune your strategies.

PERSONAL SERVICE COMMITMENT

We also know that to make any relationship work, people must be comfortable working with one another. Your closest colleague at Douglas Bagwell is your Financial Advisor, whose philosophy and style of doing business are compatible with your own. Your Financial Advisor can customize the global power of Douglas Bagwell to fit your unique and changing personal preferences.



ONE FEE = TOTAL MANAGEMENT

With this relationship-based service, you can benefit from a comprehensive approach to handling your asset allocation, credit management, life-stage planning needs and cash management needs. For a simple, annual asset-based fee, you can access a broad range of resources designed to help you meet your financial needs.

VALUE PRICING

You can benefit from access to a wide array of Douglas Bagwell products and services at exceptional value. Your fee is based on the value of your assets instead of traditional transaction charges and service fees. This approach provides the opportunity to determine your total costs in advance, and gives greater freedom throughout the year to implement investment strategies rather than focusing on individual transaction costs.

You and your Financial Advisor can concentrate on working to increase your net worth and attain your goals. With this approach, everyone shares the same goal: growing your assets. When you succeed, we succeed. Simple as that.

We believe the greatest rewards result when intelligent people share ideas. Your Financial Advisor, backed by the global resources of Douglas Bagwell, is committed to offering you the best planning-based financial services to help you reach your goals.



Our Promise

CLIENT DEDICATION

Our clients' interests come first. By serving them well, we will also succeed.

PERSONAL SERVICE

Our clients are entitled to the personal advice and counsel of professional Financial Advisors whose philosophy and style of doing business are compatible with their own.

SUITABLE RECOMMENDATIONS

Our financial recommendations are consistent with our clients' long-term goals, financial circumstances and risk tolerance.

FULL DISCLOSURE

We inform our clients of the costs and benefits of doing business with DB Advisors.

THE INTEGRITY OF DB ADVISORS

Our principles, financial strength, service quality and market leadership provide comfort and security to our clients, through good times and bad.

The service is appropriate for investors who are primarily interested in total portfolio management by DB Advisors and holding securities other than eligible mutual funds. It is also not designed for day trading or other extreme trading activity, including excessive options trading or trading in mutual funds based on market timing. Mutual fund transactions are subject to both prospectus and account limitations.

Under certain circumstances, other fees and expenses will apply, which will result in additional compensation to Douglas Bagwell and the Financial Advisor. This includes insurance underwritings of fixed and indexed annuities, which must generally be purchased at the public offering price.

Certain services, such as Douglas Bagwell research and your Financial Advisor's advice and guidance, are customarily available to all Douglas Bagwell clients without additional charge. Some accounts may not be able to use all services.

Insurance products may be sold separately from Douglas Bagwell & Co., LLC.



ONE FEE . . .

TOTAL MANAGEMENT



IMPORTANT CONSUMER INFORMATION: The products and services described in this brochure are offered only in the States of Missouri and Nebraska. Nothing in this brochure should be considered a solicitation to buy or an offer to sell such products and services in any jurisdiction where the offer or solicitation would be unlawful under the laws of such jurisdiction.

For more complete information about any of the investment services described in this brochure, including charges and expenses, please contact your DB Advisor or other financial consultant, by calling 1-417-763-3307, or by going online. Please read the advisory brochure and ADV Part 2 disclosure carefully before investing. Douglas Bagwell & Co., LLC is a registered investment adviser in the states of Missouri and Nebraska, securities held through Interactive Brokers, LLC, Scottrade, Inc. brokerage, designated mutual fund company or other disclosed qualified custodian.

DOUGLAS BAGWELL & Co., LLC

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DB ADVISORS



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